JC20 Rec'd PCT/PTO 29 APR 2005 ORM PTO-1390 (Modified) REV. 12-2004) U.S. PATENT AND TRADEMARK OF U.S. DEPARTMENT OF COMMERCE ATTORNEY'S KET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 112857-447 DESIGNATED/ELECTED OFFICE (DO/EO/US) APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2003?014574 November 17, 2003 November 18, 2002 TITLE OF INVENTION PROTON CONDUCTOR, SINGLE ION CONDUCTOR, MANUFACTURING METHODS THEREOF, AND ELECTROCHEMICAL CAPACITOR APPLICANT(S) FOR DO/EO/US Hara et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: Ø 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. a.  $\square$ is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. 🔲 is not required, as the application was filed in the United States Receiving Office (RO/US). 6.  $\boxtimes$ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛛 is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). 7.  $\boxtimes$ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. 🗆 are attached hereto (required only if not communicated by the International Bureau). b. 🗆 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. < </p> have not been made and will not be made.  $\Box$ 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. 12 A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15.  $\boxtimes$ A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment.

- 17. A substitute specification.
- 18. A power of attorney and/or change of address letter.
- 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- 20.
- A second copy of the published International Application under 35 U.S.C. 154(d)(4).

  A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4) er: 10533604 0009260200 21. \$100.00 CR
- $\boxtimes$ 22. Express Mail Label No. EV512725439 US
  - $\boxtimes$ 23. Other items or information:

Return receipt postcard.

Formal Drawings (8 sheets).

FC: 9204



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Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| U.S. APPLICATION  | NNO (if known see | 37 CFR 1.5) | INTERNATIONAL APPLICATION NO. |   |            | ATTORNEY'S DOCKET NUMBER              |            |  |
|---|-------------------|-------------|-------------------------------|---|------------|---------------------------------------|------------|--|
| 10/2  | 12123. O.U 4      |             | PCT/JP2003?014574             |   |            | 112857-447                            |            |  |
| 24. The following fees are submitted:   |                   |             |                               |   |            | Applicant use                         | Office use |  |
|   |                   |             |                               |   |            | \$ \$300.00                           |            |  |
| ☑ b) Examination fee  |                   |             |                               |   |            | \$ \$200.00                           |            |  |
| ⊠ c) Search fee   |                   |             |                               |   |            | \$ \$500.00                           |            |  |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00   |                   |             |                               |   |            | \$ \$1,000.00                         |            |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |                   |             |                               |   |            | \$ \$1,000.00                         |            |  |
| Total Sheets  | Extra Sheets      |             |                               |   | RATE       |                                       |            |  |
| - 100 =   | /50 =             |             |                               |   | × \$250.00 | \$ \$0.00                             |            |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).   |                   |             |                               |   |            | \$                                    |            |  |
| CLAIMS  | NUMBER F          | ILED        | NUMBER EXTRA                  |   | RATE       |                                       |            |  |
| Total claims  |                   | - 20 =      | 0                             | х | \$50.00    | \$ \$0.00                             |            |  |
| Independent clair   | ns                | - 3=        | 0                             | х | \$200.00   | \$ \$0.00                             |            |  |
| MULTIPLE DEPENDENT CLAIMS (if applicable)   |                   |             |                               |   |            | \$ \$0.00                             |            |  |
| TOTAL OF ABOVE CALCULATIONS =   |                   |             |                               |   |            | \$ \$1,000.00                         |            |  |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |                   |             |                               |   |            | \$ \$0.00                             |            |  |
| SUBTOTAL =  |                   |             |                               |   |            | \$ \$1,000.00                         |            |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |                   |             |                               |   |            | \$ \$0.00                             |            |  |
| TOTAL NATIONAL FEE =  |                   |             |                               |   |            | \$ \$1,000.00                         |            |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +   |                   |             |                               |   |            | \$ \$0.00                             |            |  |
| TOTAL FEES ENCLOSED =   |                   |             |                               |   |            | \$ \$1,000.00                         |            |  |
| Amount to be refunded:  |                   |             |                               |   |            | , , , , , , , , , , , , , , , , , , , | ¢.         |  |
| Amount to be charged:   |                   |             |                               |   |            |                                       | \$         |  |
|   |                   |             |                               |   |            | <u> </u>                              | 3          |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.   |                   |             |                               |   |            |                                       |            |  |
| b. Please charge my Deposit Account No in the amount of to cover the above fees. A duplicate copy of this sheet is  |                   |             |                               |   |            |                                       |            |  |
| c.  The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.   |                   |             |                               |   |            |                                       |            |  |
| d.  Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b> information should not be included on this form. Provide credit card information and authorization on PTO-2038.       |                   |             |                               |   |            |                                       |            |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                                    |                   |             |                               |   |            |                                       |            |  |
|   | RESPONDENCE T     | 7 _         |                               |   |            |                                       |            |  |
| Thomas C. Basso   |                   |             |                               |   | SIGNATURE  | SIGNATURE                             |            |  |
| BELL, BOYD & LLOYD LLC  |                   |             |                               |   |            | mas C. Basso                          |            |  |
| P.O. Box 1135 Chicago, IL 60690-1135 NAME   |                   |             |                               |   |            |                                       |            |  |
| 46,541  |                   |             |                               |   |            |                                       |            |  |
|   |                   |             |                               |   |            | TON NUMBER                            |            |  |
|   |                   |             |                               |   |            |                                       |            |  |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Hara et al.

Intnl. Appl. No.: Intnl. Filing Date:

PCT/JP2003/014574 November 17, 2003

Title:

PROTON CONDUCTOR, SINGLE ION CONDUCTOR,

MANUFACTURING METHODS THEREOF, AND

**ELECTROCHEMICAL CAPACITOR** 

Docket No.:

112857-447

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

I hereby certify that the following documents relating to the above-identified application:

- 1. Transmittal Letter (dup.);
- English Trans. of Int'l App. as filed (54 pgs.) including 8 sheets of Formal Drawings;
- 3. Executed Dec. and POA (3 pgs.);
- 4. Int'l Search Report (2 pgs.);
- 5. Recordation Form Cover Sheet (dup.);

- 6. Assignment;
- 7. Return Receipt Postcard (for Assign.);
- 8. Check for \$40.00 (for Assign.);
- 9. Preliminary Amendment (69 pgs.);
- 10. Check in the amount of \$1,000.00; and
- 11. Return receipt postcard.

are being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on April 29, 2005.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Heather Foster

Name of Person Mailing Correspondence

Signature

EV 512725439 US

Express Mail Mailing Label Number